

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Page 1 of 4

Application Number

10/762678

Filing Date

January 20, 2004

First Named Inventor

Ouderkirk, Andrew J.

Art Unit

~~2874~~ 2882

Examiner Name

~~Andrew E~~ Kearney

Attorney Case Number

59418US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
EM	A1	US- 2001-001207	5/17/01	Shimizu et al.	
EM	A2	US- 2001-0013668	8/16/01	Neavin et al.	
EM	A3	US- 2002-0017651	2/14/02	Kato et al.	
EM	A4	US- 2002-0043926	4/18/02	Takahashi et al.	
EM	A5	US- 2002-0054406	5/09/02	Duerksen	
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EM	A28	US- 5,540,978	7/30/96	Schrenk	

*Examiner:

Elizabeth Kearney

Date Considered:

8/30/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 2 of 4	Application Number	10/762678
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2882
	Examiner Name	Unknown E Keady
	Attorney Case Number	59418US002

U.S. Patent Documents					
Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
ENK	A29	US- 5,540,987	7/30/96	Mudge et al.	
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*Examiner: Eileen M. Keady	Date Considered: 8/30/05
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 3 of 4	Application Number	10/762678
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2882
	Examiner Name	Andrew E. Kearney
	Attorney Case Number	59418US002

U.S. Patent Documents					
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Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
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EMK	B3	EP	0795941 A1	9/17/97			
EMK	B4	EP	EP 1 119 058 A1	7/25/01			
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EMK	B6	WO	97/01778	1/16/97			
EMK	B7	WO	97/10278 English Abstract	3/20/97			
EMK	B8	WO	WO 97/48138	12/18/97			
EMK	B9	WO	WO 99/36248	7/22/99			
EMK	B10	WO	WO 99/60303	11/25/99			
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EMK	B15	WO	WO 04/021461	3/11/04			
EMK	B16	JP	JP 11274572 English Abstract	10/08/99			
EMK	B17	JP	JP 2004031843 English Abstract	1/29/04			
EMK	B18						

OTHER DOCUMENTS			
Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
EMK	C1	US Application No. 60/407,426, Soules et al., "Coated LED With Improved Efficiency," filed August 30, 2002.	

*Examiner: Elizabeth Kearney	Date Considered: 8/30/05
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Substitute for form 1449A/PTO (modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) Page 4 of 4	Application Number	10/762678
	Filing Date	January 20, 2004
	First Named Inventor	Ouderkirk, Andrew J.
	Art Unit	2882
	Examiner Name	Andrew E. Keeney
	Attorney Case Number	59418US002

OTHER DOCUMENTS			
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ENK	C3	US Application No. 10/727220; Schultz, John C.; "Illumination Assembly"; filed 12/2/2003.	
ENK	C4	US Application No. 10/726225; Henson, Gordon D.; "Solid State Light Device"; filed 12/2/2003.	
ENK	C5	US Application No. 10/726248; Watson, James E.; "Multiple LED Source and Method for Assembling Same"; filed 12/2/2003.	
ENK	C6	US Application No. 10/739792; Ouderkirk, Andrew J.; "Display Including a Solid State Light Device and Method Using Same"; filed 12/18/2003.	
ENK	C7	US Application No. 10/726257; Aguirre, Francis M.; "LED Curing Apparatus and Method"; filed 12/2/2003.	
ENK	C8	Weber et al., "Giant Birefringent Optics in Multilayer Polymer Mirrors", Science, Vol.287, March 31, 2000, pp. 2451-2456.	
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ENK	C10	Haaren et al., "Recent Advances in Displays for Portable Products", ASET International Display Forum on Low Power Displays, 21 July 2000, Tokyo (Japan), pp. 1-6, XP-002289713.	

*Examiner: <u>Andrew E. Keeney</u>	Date Considered: <u>8/30/05</u>
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Substitute for form 1449A/PTO (modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Page 1 of 1

Application Number	10/726968
Filing Date	December 2, 2003
First Named Inventor	Ouderkirk, Andrew J.
Art Unit	2882
Examiner Name	Keaney, Elizabeth Marie
Attorney Case Number	59418US002

U.S. Patent Documents

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		Doc. Number-(Kind Code if Known)			
ENK	A1	US- 6,652,996	11/25/2003	Steklenski et al.	
ENK	A2	US- 6,717,348	04/06/2004	Takahashi	

Foreign Patent Documents

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OTHER DOCUMENTS

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ENK	C1	Inquiry Documents, 54 pages.	

*Examiner: Elizabeth Keaney	Date Considered: 8/31/05
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Information Disclosure Statement)